

ASEAN Funding Instruments

ASEAN Science,
Technology and
Innovation Fund (ASTIF)

ASEAN-China
Cooperation
Fund (ACCF)

ASEAN-Russian
Federation Dialogue
Partnership Financial
Fund

ASEAN-India Science &
Technology Development
Fund (AISTDF)

ASEAN-ROK
Special
Cooperation
Fund

ASEAN Plus
Three
Cooperation
Fund

ASEAN-
Pakistan
Cooperation
Fund

ASEAN
Development
Fund (ADF)

ASEAN-India
Fund (AIF)

ASEAN-India
Green Fund

Japan-ASEAN
Integration
Fund (JAIF)



List of ASEAN-Dialogue Partners' Trust Fund

(Currently funding education projects or accessible to the ASEAN
Education Sector)

1. ASEAN Plus Three Cooperation Fund
2. ASEAN-ROK Special Cooperation Fund
3. ASEAN-China Cooperation Fund
4. Japan-ASEAN Integration Fund
5. ASEAN-India Fund
6. **ASEAN-India Green Fund (AIGF)**
7. ASEAN-Russian Federation Dialogue Partnership
Financial Fund
8. **ASEAN-Pakistan Cooperation Fund (APCF)**



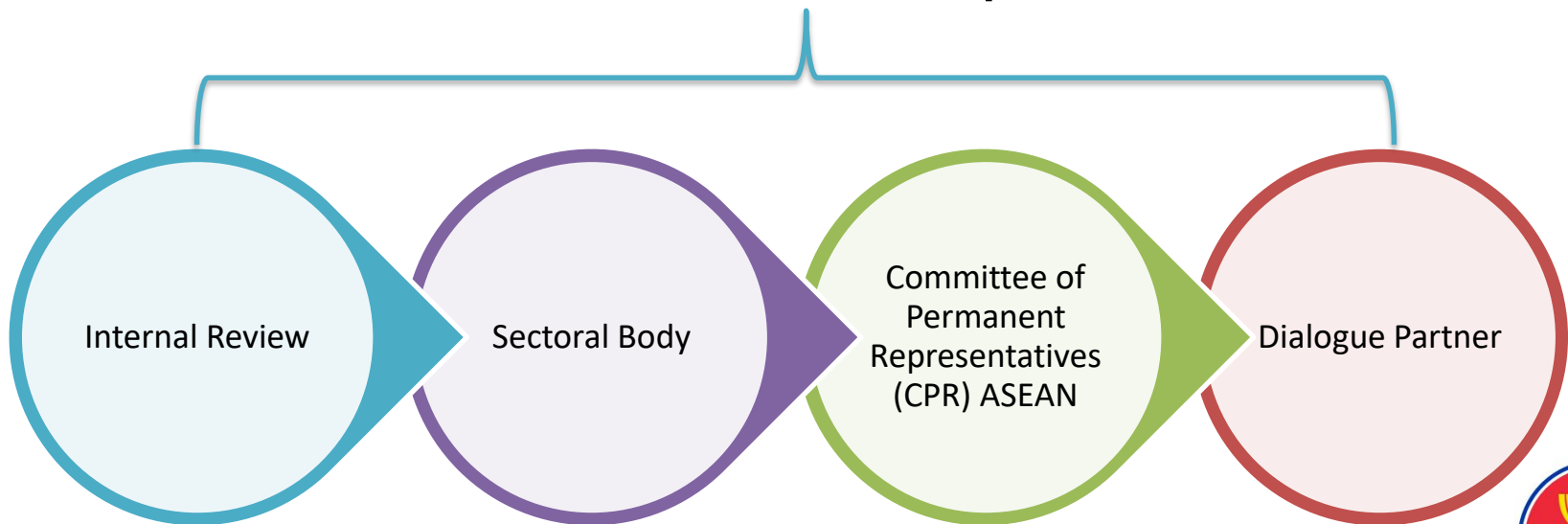
ASEAN Dialogue Partners Trust Fund

1. Established to fund activities that promote cooperation between ASEAN and various Dialogue Partners.
2. Most of the trust funds are non-sectoral specific and ASEAN Secretariat is entrusted to administer.
3. The administration of these funds is guided by a set of Standard Operating Procedure (SOP) approved by the Committee of Permanent Representatives to ASEAN (CPR).
4. Priority for funding is generally determined by common and agreed-upon priorities of ASEAN and the Dialogue Partner.
5. The ASEAN-ROK Special Cooperation Fund (ARSCF) is in the process of merging with other ROK supported fund into a new trust fund, the ASEAN-ROK Cooperation Fund (AKCF).



SOP on Project Appraisal and Approval Process ASEAN-Dialogue Partners' Trust Fund

Minimum 4-month process



ASEAN Cooperation Project Proposal: Format

1. **Project Details** (title, description, classification, duration, participating AMS, sponsoring ASEAN body, proponent, implementing agency, proposed funding source, proposed budget)
2. **Project Justification, Regionality and Beneficiaries** (current problem, why the need for regional action, project history)
3. **Project Results** (indicators, means of verification)
4. **Project Management Arrangement** (human resource inputs, M&E)
5. **Project Sustainability Gender and Other Cross-cutting Issues**
6. **Potential Risks**

With 3 annexes: budget proposal, indicative work plan, notation on additional supporting documents



ASEAN Cooperation Project Proposal: Appraisal (1)

1. **Regionality:** The problem is regional and not national by definition; which means that the problem exist beyond the national level
 - The problem requires cooperation in order to bring about a solution; a regional problem which requires regional cooperation to solve
2. **Description:** Can the given brief project description capture the essence of the project proposal?
3. **Objective:** Is the objective(s) clear, achievable and measurable? For a recurring project, is the objective(s) still relevant with the current ASEAN context?
4. **Outputs, Indicators and Activities**
 - Will the outputs ensure the attainment of the objective(s)?
 - Will the activities produce the outputs?
 - Are the proposed input(s) sufficient to achieve outputs?
 - For a recurring project, is the output(s) still relevant with the current ASEAN context?



ASEAN Cooperation Project Proposal: Appraisal (2)

5. **Management and Implementation Arrangements:** Are the management and implementation arrangements realistically defined?
6. **Budget Proposal:**
 - Do the activities appear to be cost-efficient in general? (activities that are too expensive compared to the results we are hoping for is not good value for money)
 - Do the activities comply with the ASEAN project budget guidelines? (the allocated DSA and its allocation should be in line with ASEC/ ASEC – DP/ DP’s policy on standard DSA and standard practice for hotel and per diem)
 - Does the budget provide a clear breakdown with clear basis, assumptions, and calculation?
7. **Indicative Work Plan:** Are the activity(s) and the timeline in the indicative work plan sufficiently realistic to achieve the intended output(s)?



ASEAN Project Templates

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- [ASEAN Cooperation Project Proposal \(Brief Format – For Projects under USD 100,000\)](#)
- [ASEAN Cooperation Project Proposal \(Full Proposal Format – For Projects of USD 100,000 and Above\)](#)
- [Annex 1 Project Proposal – Budget Template](#)
- [Annex 2 Project Proposal – Workplan Template](#)
- [Project Completion Report](#)
- [Financial Report Template](#)



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